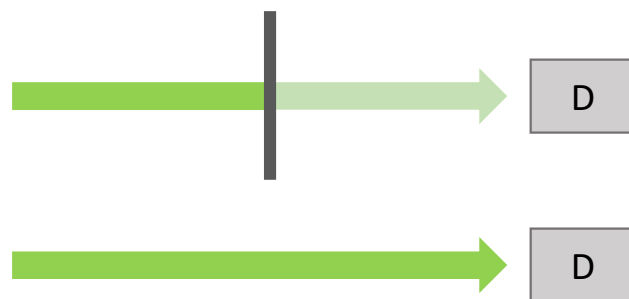


# RTS Improvements and New Uncertainty Budget

Catherine Cooksey  
November 2021

# Regular Spectral Transmittance



$$\tau = \frac{\Phi_t}{\Phi_i}$$

## Stakeholders:

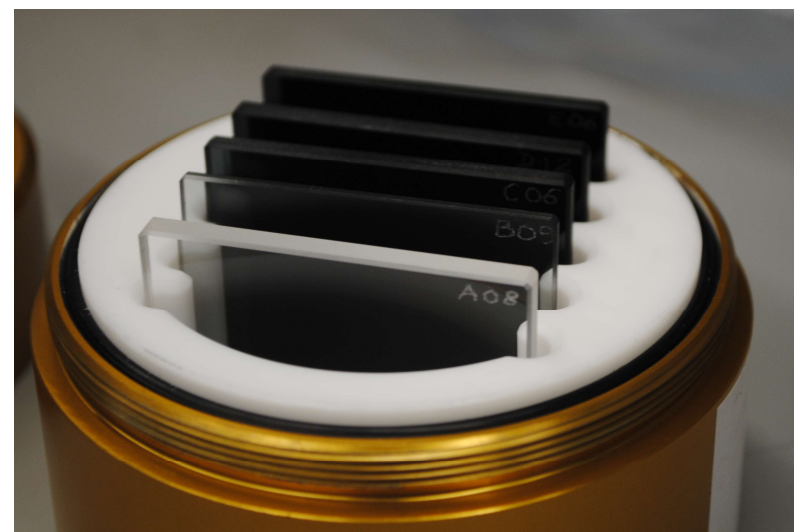
- Standard/certified reference material producers (e.g. MML)
- Optics/photonics/instrument manufacturers
- Pharmaceutical and healthcare industries
- Coatings manufacturers
- Remote sensing community
- Military standards laboratories

## Green Filter Transmittance (38020C):

- Copper-green glass filter
- 380 nm to 770 nm at 10 nm intervals

## Special Tests of Transmittance (38061S):

- Neutral or colored, non-fluorescent glass filters
- 250 nm to 2500 nm



NIST Measurement Services: Regular spectral transmittance

*NIST Special Publication 250-69*

Allen DW, Early EA, Tsai BK, Cooksey CC (2011)

# Reference Transmittance Spectrophotometer (RTS)

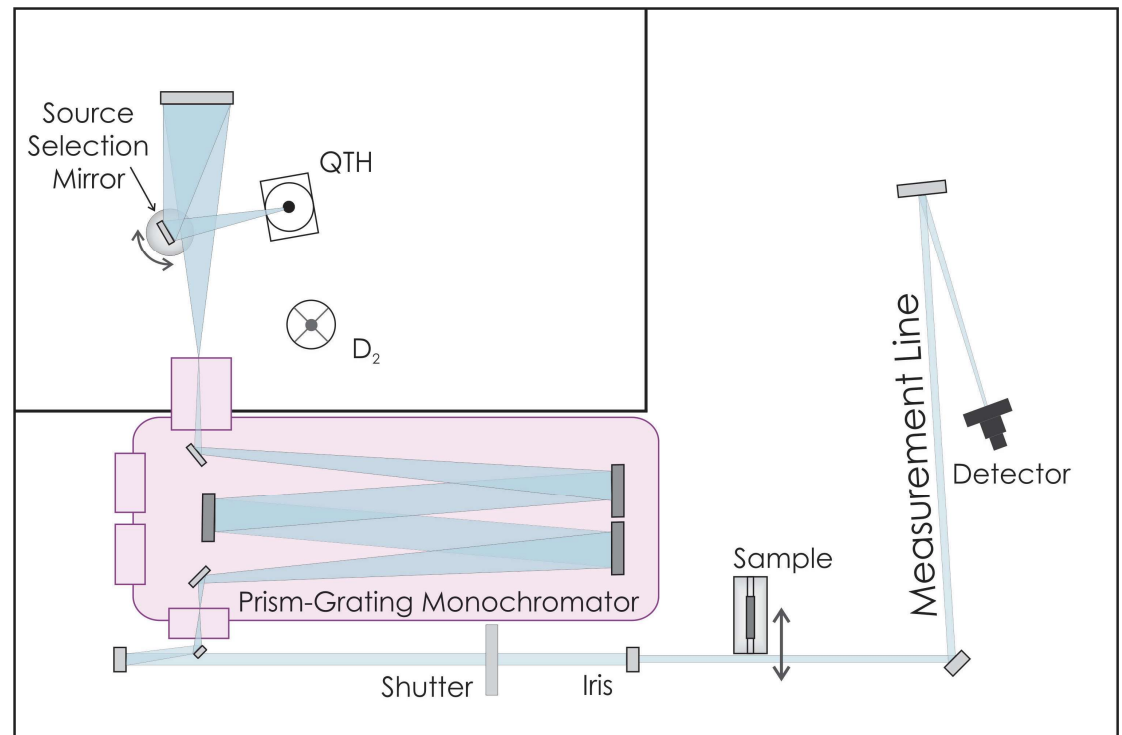
NIST

## Sources:

- Deuterium ( $D_2$ ) for UV
- QTH for visible

## Measurement Line:

- PMT for UV
- Si for visible



2011

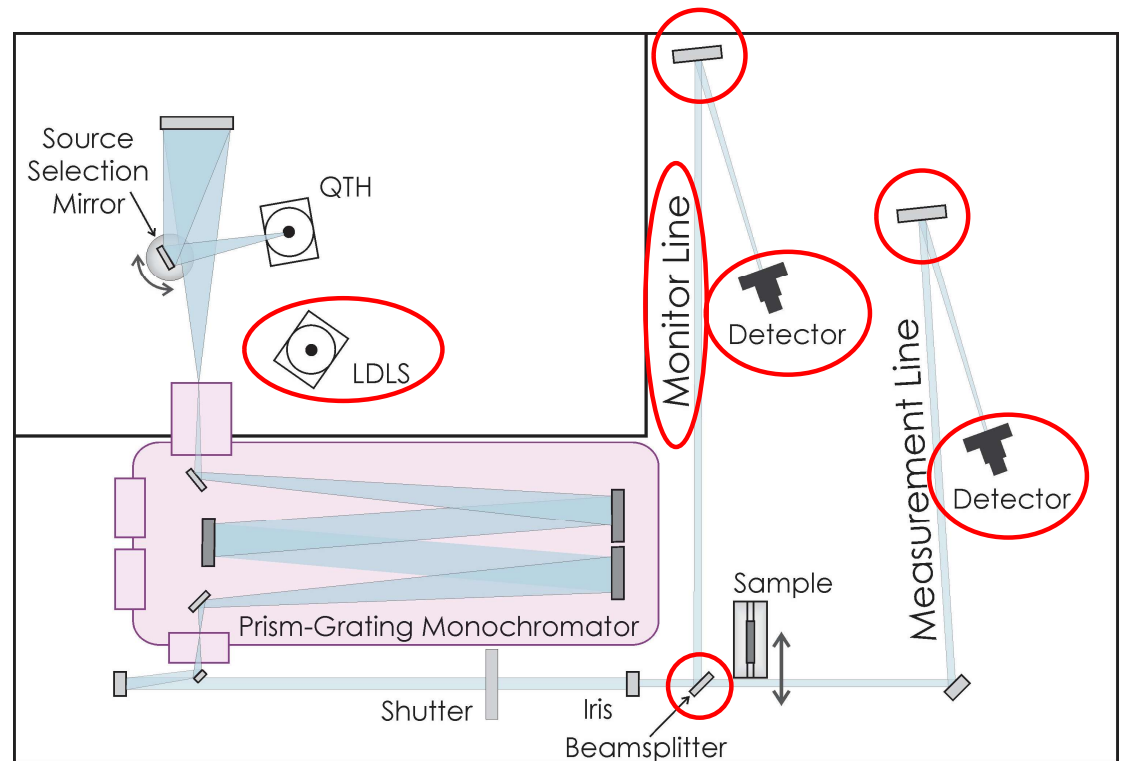
# Reference Transmittance Spectrophotometer (RTS)

## Sources:

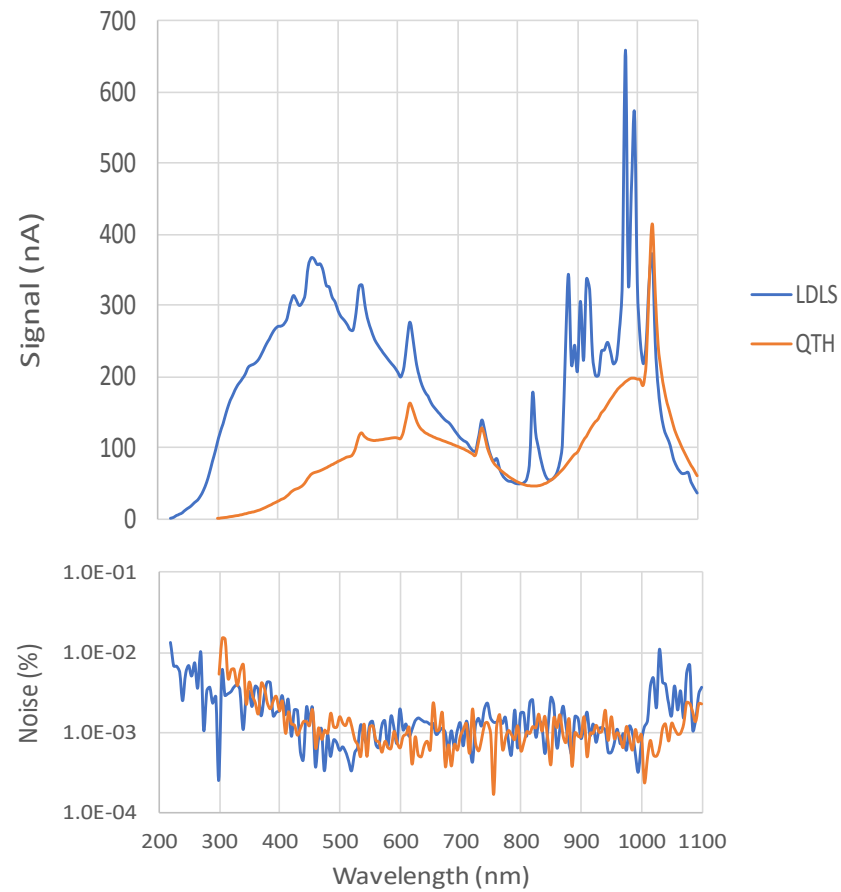
- LDLS (EQ-99) for UV
- QTH for visible

## Measurement and Monitor Lines:

- 50% beam splitter
- New, identical optics, Si photodiodes, and electronics



# LDLS and QTH Signals



# Signal Measurement

The detection system collects  **$n$  voltage readings** at each wavelength  $\lambda$ , position (Sample or Clear), and shutter condition (Open or Closed).

For each set of  $n$  voltage readings, **average voltage** is

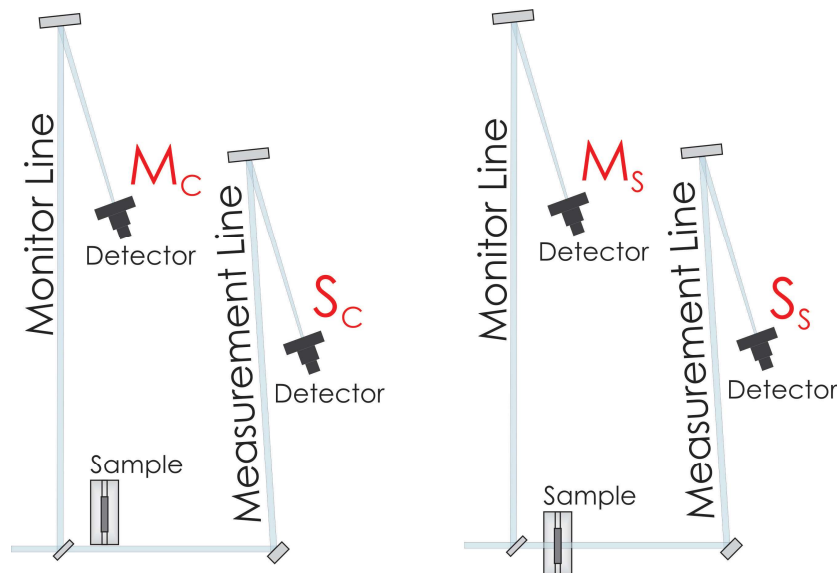
$$V = \frac{1}{n} \sum_{i=1}^n V_i$$

The average voltages are then converted to **currents  $I(V)$**  using

$$I(V) = \frac{1}{G_m} (V - b)$$

Where  $G_m$  is the gain of the amplifier and  $b$  is the offset voltage of the amplifier.

# Signal Measurement



Signal variable (A)	Line	Position	Shutter condition
$S_S$	Measurement	Sample	Open
$S_{S,d}$	Measurement	Sample	Closed (dark)
$S_C$	Measurement	Clear	Open
$S_{C,d}$	Measurement	Clear	Closed (dark)
$M_S$	Monitor	Sample	Open
$M_{S,d}$	Monitor	Sample	Closed (dark)
$M_C$	Monitor	Clear	Open
$M_{C,d}$	Monitor	Clear	Closed (dark)

# Transmittance Measurement

**Net signal** at each wavelength  $\lambda$  and position (Sample or Clear) is the difference between the signal measured when the shutter is open and when it is closed

$$S_i^{NET} = S_i - S_{i,d}$$

$$M_i^{NET} = M_i - M_{i,d}$$

**Transmittance** at each wavelength  $\lambda$

$$\tau(\lambda) = \left[ \frac{S_s^{NET}(\lambda)}{S_c^{NET}(\lambda)} \right] \left[ \frac{M_c^{NET}(\lambda)}{M_s^{NET}(\lambda)} \right]$$

where  $S_s^{NET}$  and  $S_c^{NET}$  are the net signals obtained from the measurement line and  $M_s^{NET}$  and  $M_c^{NET}$  are the net signals obtained from the monitor line.

# Uncertainty Analysis

	Source of Uncertainty	Effect		Sample	
		Systematic	Random	Independent	Dependent
Step 2	Stray Light	X			X
	Inter-reflections	X			X
	Wavelength	X			X
Step 1	Signals				
	DVM Accuracy	X		X	
	Voltage Accuracy	X		X	
	Amplifier Gain	X		X	
	Amplifier Offset Voltage	X		X	
	Random Fluctuations		X	X	

# Step 1: Signal Uncertainty

**Formal measurement equation:**

$$I(V) = \frac{1}{G_m} [(V + c_{DVM} + c_R) - b]$$

**Calibration factors:**

$c_{DVM}$  - Accuracy of the digital voltmeter (DVM)

$c_R$  - Random fluctuations in the voltage measurements

$c_{DVM} = c_R = 0$ , but their uncertainty values (non-zero) contribute to the overall signal uncertainty

**Combined standard uncertainty** for each signal

$$u(S_i) = u(M_i) = \sqrt{[u_{DVM}(V)]^2 + [u_R(V)]^2 + [u_V(V)]^2 + [u_b(V)]^2 + [u_{Gm}(V)]^2}$$

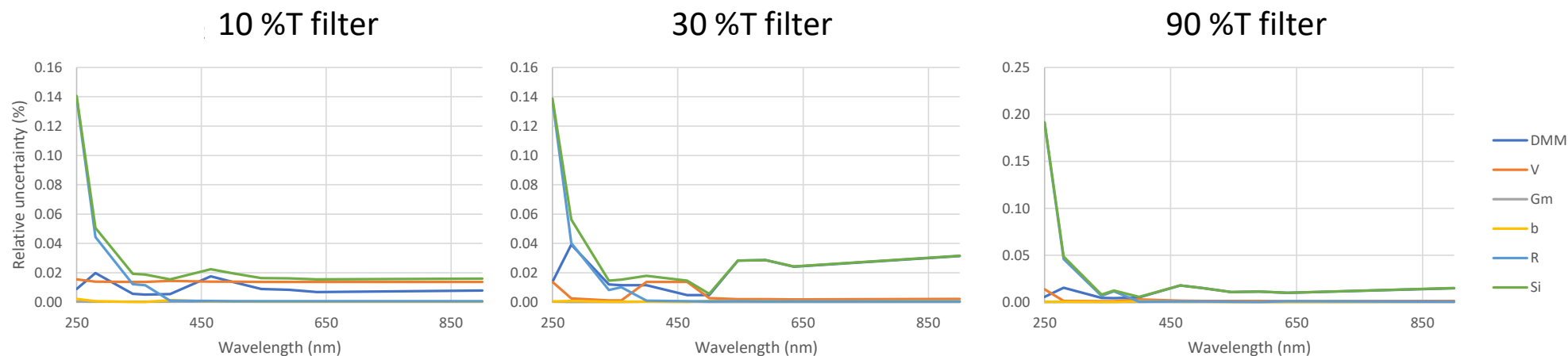
# Step 1: Signal Uncertainty

Example uncertainty contributions and combined signal uncertainties for  $S_s = 1.4e-8$  A

Source of Uncertainty	Standard Uncertainty	Relative Unc. Contribution
DVM Accuracy	400 $\mu$ V	0.03%
Voltage Accuracy	2.8e-5 V	0.002%
Amplifier Gain	175 V/A	0.0002%
Amplifier Offset Voltage	8.5e-6 V	0.0006%
Random Fluctuations	7.4e-6 V	0.0005%
		<b>Combined Uncertainty</b>
		0.03%

# Step 1: Signal Uncertainty

Example uncertainty contributions and combined signal uncertainties for  $S_S$



Major contributors:

- Random fluctuations below approx. 350 nm
- DVM accuracy in visible for 30 %T and 90 %T filters

# Step 2: Transmittance Uncertainty

**Formal measurement equation:**

$$\tau(\lambda) = c_{SL} c_{IR} \left[ \frac{S_S^{NET}(\lambda)}{S_C^{NET}(\lambda)} \right] \left[ \frac{M_C^{NET}(\lambda)}{M_S^{NET}(\lambda)} \right]$$

**Calibration factors:**

$c_{SL}$  - Out-of-band stray light

$c_{IR}$  - Inter-reflections

$c_{SL} = c_{IR} = 1$ , but their uncertainty values (non-zero) contribute to the overall signal uncertainty

**Combined standard uncertainty** for transmittance

$$u_{\tau}(\lambda) = \sqrt{(u_{SL})^2 + (u_{IR})^2 + (u_{\lambda})^2 + (u_{S_S^{NET}})^2 + (u_{S_C^{NET}})^2 + (u_{M_S^{NET}})^2 + (u_{M_C^{NET}})^2}$$

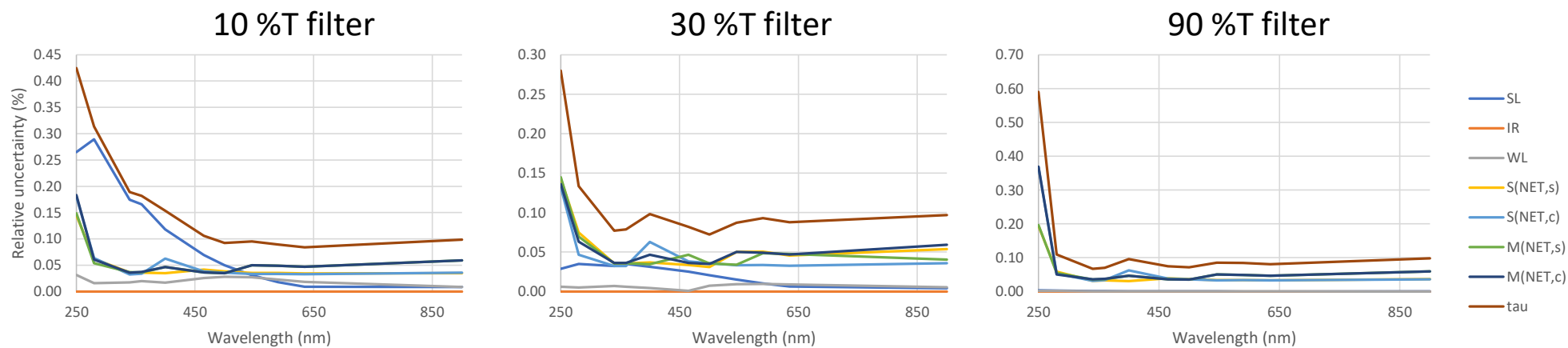
# Step 2: Transmittance Uncertainty

Example uncertainty contributions and combined transmittance uncertainties for  $\tau_{\text{nominal}} = 0.3$

Source of Uncertainty	250 nm $\leq \lambda \leq$ 360 nm		400 nm $\leq \lambda \leq$ 900 nm	
	Standard Uncertainty	Relative Unc. Contribution	Standard Uncertainty	Relative Unc. Contribution
Stray Light	0.04%	0.03%	0.01%	0.02%
Wavelength	0.13 nm	0.01%	0.13 nm	0.01%
Signals				
Influx ( $S_s^{NET}$ )	5.9e-12 A	0.07%	3.6e-12 A	0.04%
Efflux ( $S_c^{NET}$ )	1.1e-11 A	0.06%	5.7e-11 A	0.04%
Monitor ( $M_s^{NET}, M_c^{NET}$ )	6.3e-12 A	0.07%	3.9e-12 A	0.04%
		<b>Exp. Unc. (k = 2)</b>		<b>Exp. Unc. (k = 2)</b>
		0.2%		0.1%

# Step 2: Transmittance Uncertainty

Example uncertainty contributions and combined transmittance uncertainties for  $\tau$

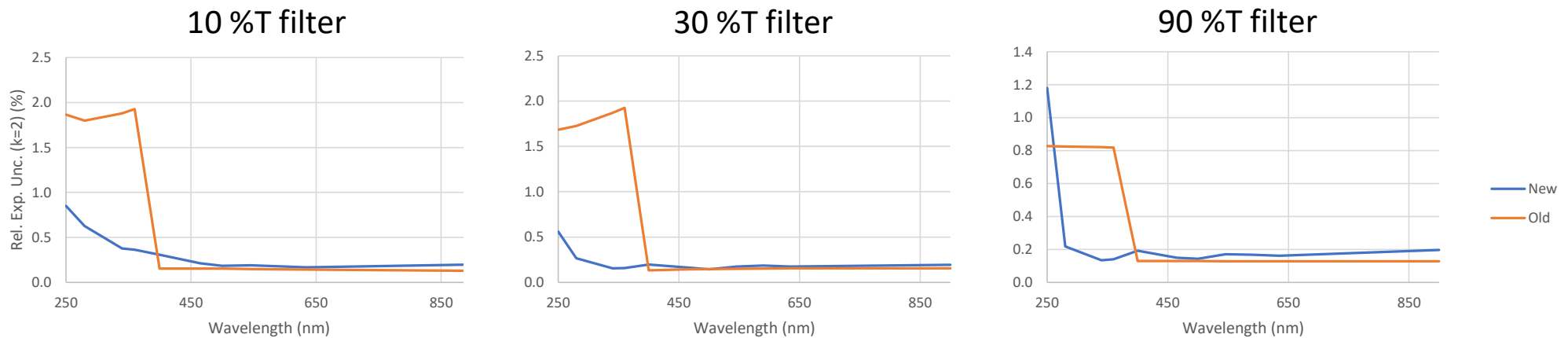


Major contributors:

- Net signals for 30 %T and 90 %T filters
- Stray light in UV for 10 %T filter

# Uncertainty Budget Comparison

Example comparison of expanded uncertainty

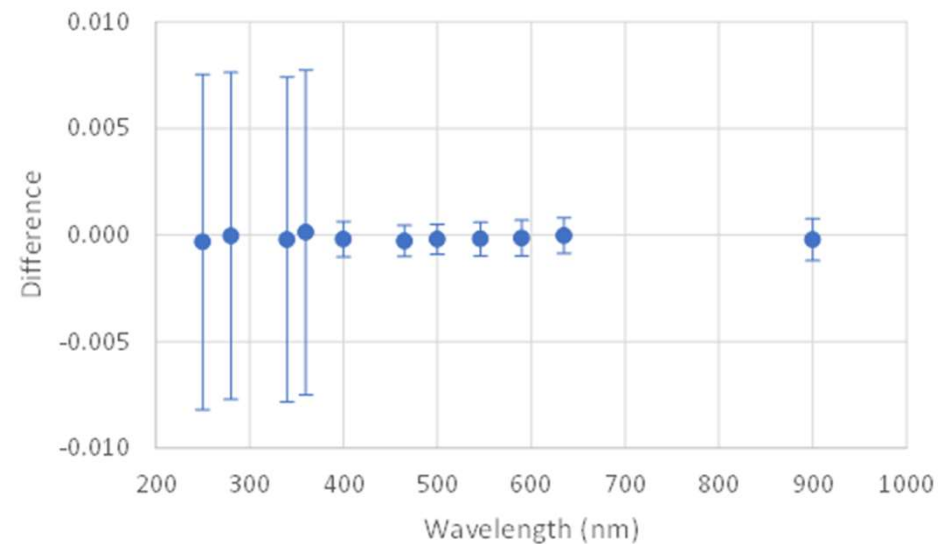


Results:

- Overall improvement in UV
- Uncertainties remain the same in visible

# Instrument Validation

Example of difference between  $\tau$  measured with the upgraded RTS and historical values



## Results:

- Upgraded RTS agrees with historical measurements within the combined  $k = 2$  uncertainties

## **Hardware Upgrades:**

- LDLS for UV illumination
- Measurement and Monitor Lines
- New detection system

## **New Uncertainty Budget:**

- Reduction of UV uncertainties by 70% or more

## **Bonus:**

- Cut measurement time in half

## **Short Term:**

- Comparison measurements with NRC Canada

## **Near Term:**

- Update Calibration and Measurement Capabilities (CMCs) for UV

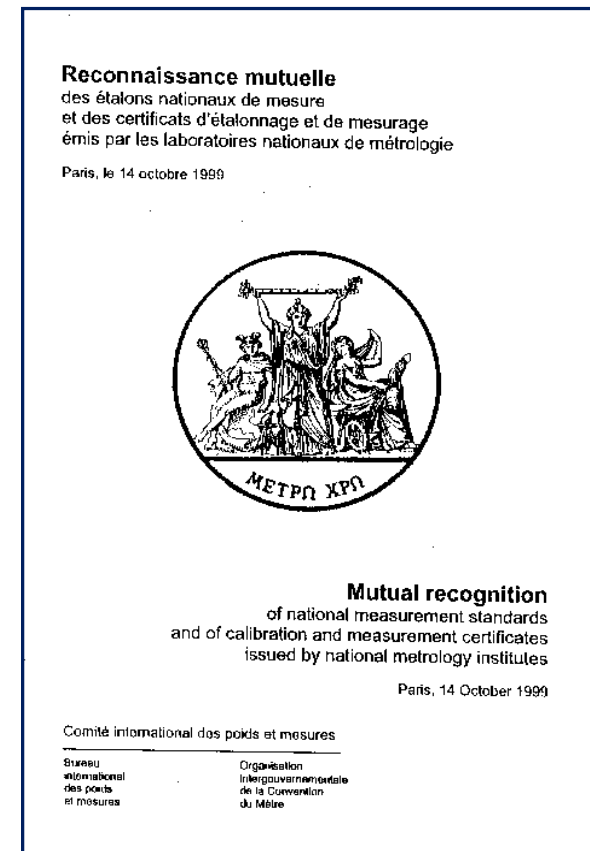
## **Long Term:**

- Incorporate polarization capability
- Update NIR detection system

# CIPM Mutual Recognition Arrangement (MRA)

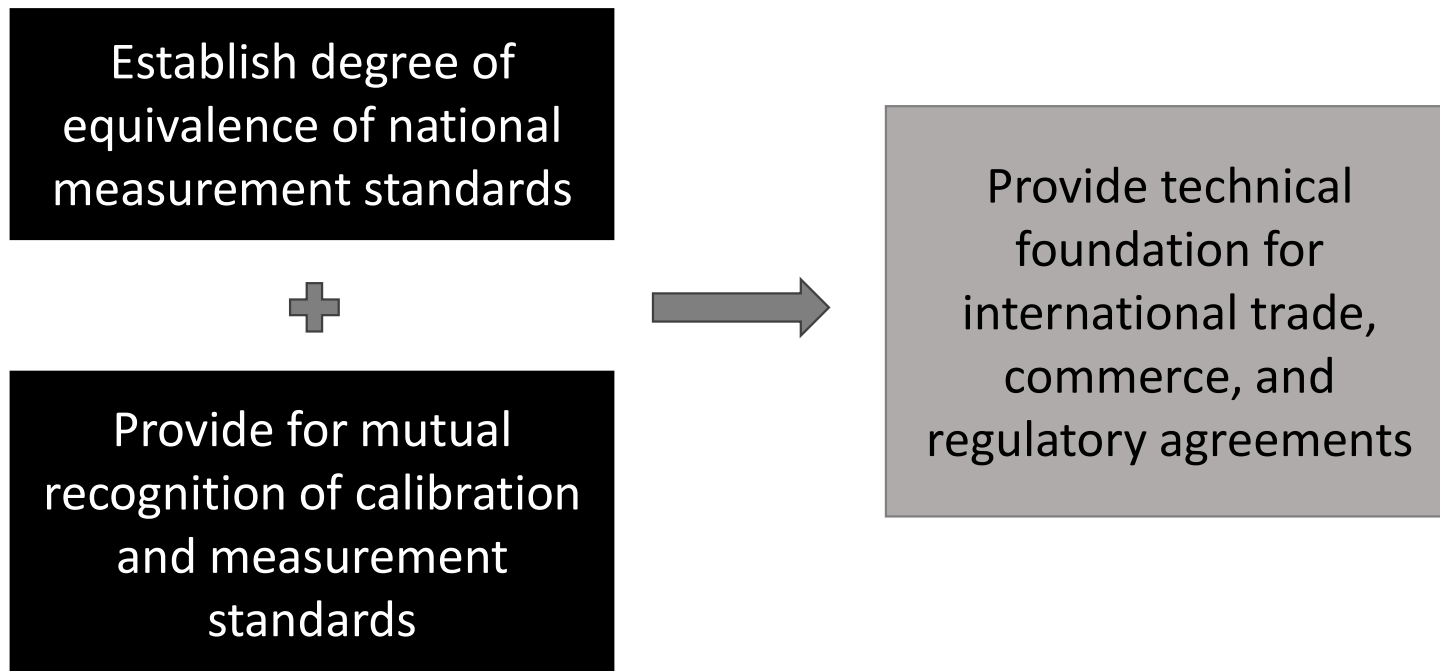
NIST

- Originally signed by 38 member states in Oct. 1999
- Today, 102 institutes have signed, covering 102 institutes plus 155 designated institutes
- Provides open, transparent, and comprehensive scheme to assess:
  - Comparability of national metrology services
  - Technical basis for wider agreements for international trade, commerce, and regulatory affairs



# CIPM Mutual Recognition Arrangement (MRA)

## Objectives:




# CIPM Mutual Recognition Arrangement (MRA)



## Calibration and Measurement Capabilities (CMCs):

Calibration and Measurement Capabilities  
Photometry and Radiometry, United States, NIST (National Institute of Standards and Technology)



Calibration or Measurement Service			Measurand Level or Range			Measurement Conditions/Independent Variable		Expanded Uncertainty					Comments	NMI Internal Service Identifier
Quantity	Instrument or Artifact	Instrument Type or Method	Minimum value	Maximum value	Units	Parameter	Specifications	Value	Units	Coverage factor	Level of Confidence	Is the expanded uncertainty a relative one?		
Luminous intensity	Tungsten lamp	Photometric bench	0.001	1	cd	Color temperature	2000 K to 3200 K	1.5 to 0.5, varies with measurand	%	2	95%	Yes	Services also available for sources other than tungsten lamps, with uncertainty evaluated for specific sources.	37010C and 37020S
Luminous intensity	Tungsten lamp	Photometric bench	1	10000	cd	Color temperature	2000 K to 3200 K	0.5	%	2	95%	Yes	Services also available for sources other than tungsten lamps, with uncertainty evaluated for specific sources.	37010C and 37020S
Illuminance responsivity, tungsten source	Illuminance meter	Photometric bench			A/ix or V/ix	Illuminance	0.001 lx to 0.1 lx	1.5 to 0.5 varies with illuminance	%	2	95%	Yes	Services also available for sources other than Illuminant A (e.g., LEDs of various colors), with uncertainty evaluated for specific sources.	37090S
						Color temperature	2856 K							
Illuminance responsivity, tungsten source	Illuminance meter	Photometric bench			A/ix or V/ix	Illuminance	0.1 lx to 1000 lx	0.5	%	2	95%	Yes	Services also available for sources other than Illuminant A (e.g., LEDs of various colors), with uncertainty evaluated for specific sources.	37090S
						Color temperature	2856 K							

Intercomparisons

Quality Management Systems

International Peer Reviews



**Thank you!**